

U.S. Department of JusticeOffice on Violence Against Women (OVW)

OVW Fiscal Year 2014 STOP Formula Grant Program

Solicitation

Solicitation Release Date

This solicitation was released on or about 2/6/14.

Eligibility

Applicants are limited to any state of the United States, the District of Columbia, the Commonwealth of Puerto Rico, the United States Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands.

(See "Eligibility," page 6)

Deadlines

Application: All applications are due by 11:59 p.m. Eastern Time (E.T.) on 3/20/14. (See "Deadlines: Application," page 5)

Registration: To ensure all applicants have ample time to complete the registration process, applicants must obtain a Data Universal Number System (DUNS) Number, register online with the System for Award Management (SAM) and with the Office of Justice Programs Grants Management System (GMS) immediately, but no later than **2/27/14**

(See "Deadlines: Registration," page 6)

Contact Information

For assistance with the requirements of this solicitation, contact OVW at (202) 307-6026 or Kevin Sweeney at 202-514-7909.

In Fiscal Year 2014, OVW formula applications will be submitted through the Office of Justice Programs Grants Management System (GMS). For technical assistance with GMS, contact OVW GMS Support at 1-866-655-4482.

It is anticipated that all applicants will be notified of the outcome of their applications by September 30, 2014.

CONTENTS

Overvie	w	p. 5
About th	ne OVW STOP Violence Against Women Formula Grant Program	p. 5
Deadline	es	p. 5
	Application Registration	p. 5 p. 6
Eligibility	у	p. 6
	Eligible Entities Program Eligibility Requirements	p. 6 p. 6
Award I	nformation	p. 9
• <i>A</i>	Availability of Funds Award Period Award Amounts Required Match	p. 9p. 9p. 9p. 9
Program	Program Scope	
• N • (Purpose Areas Mandatory Program Requirements OVW Priority Areas Accommodations and Language Access Activities that Compromise Victim Safety and Recovery Out-of-Scope Activities Unallowable Activities	p. 11 p. 14 p. 14 p. 15 p. 15 p. 15 p. 16
How to A	Apply	p. 16
• (Application Registration Requirements OVW Policy on Duplicate Applications Experiencing Unforeseen Technical Issues Extraordinary Natural or Manmade Disasters	p. 16p. 17p. 17p. 18
Applicat	tion Contents	p. 18
• A • S • I	Formatting and Technical Requirements Application Requirements Summary Data Sheet Implementation Plan Certification of Compliance with the Statutory Eligibility Requirements of VAWA Legal Assistance for Victims Certification Letter	p. 18 p. 18 p. 18 p. 19 p. 20 p. 20

Additional Required Information	
 Confidentiality Notice Form Application for Federal Assistance (SF-424) Standard Assurances and Certifications Letter of Nonsupplanting Single Point of Contact Review 	p. 20 p. 20 p. 20 p. 21 p. 21
Post-Award Requirements	p. 21
 Violence Against Women Act Non-Discrimination Provision Nonprofit Organization Requirement Compliance with OVW Financial Requirements Conference Planning and Expenditure Limitations Federal Financial Guidelines Food and Beverage/Costs for Refreshments and Meals High Risk Grantees Program Assessments Reporting Requirements Information for all Federal Award Grantees 	p. 21 p. 21 p. 21 p. 22 p. 22 p. 22 p. 23 p. 23 p. 23
Public Reporting Burden	p. 24
Application Checklist	
Appendix: • Certification of Compliance with the Statutory Eligibility Requirements of VAWA	p. 26

OVW STOP Violence Against Women Formula Grant Program (CFDA 16.588)

Overview

The Office on Violence Against Women (OVW) is a component of the United States Department of Justice (DOJ). Created in 1995, OVW implements the Violence Against Women Act (VAWA) and subsequent legislation and provides national leadership on issues of sexual assault, domestic violence, dating violence, and stalking. Since its inception, OVW has supported a multifaceted approach to responding to these crimes through implementation of grant programs authorized by VAWA. By forging state, local and tribal partnerships among police, prosecutors, judges, victim advocates, health care providers, faith leaders and others, OVW grants help provide victims with the protection and services they need to pursue safe and healthy lives, while improving communities' capacity to hold offenders accountable for their crimes.

About the OVW STOP Violence Against Women Formula Grant Program

This solicitation provides program and application guidelines for Fiscal Year 2014 Services*Training*Officers*Prosecutors (STOP) Violence Against Women Formula Grant Program (STOP Formula Grant Program) funding, including guidelines for complying with requirements of the Violence Against Women Act (VAWA), as amended. By statute, the STOP Formula Grant Program supports communities in their efforts to develop and strengthen effective law enforcement and prosecution strategies to combat violent crimes against women and to develop and strengthen victim services in cases involving violent crimes against women.

For additional information on the STOP Formula Grant Program, including what past STOP Formula Grant Program grantees have accomplished with their grant funds and to view the STOP Formula Grant Program performance measures, see http://muskie.usm.maine.edu/vawamei/stopformulamain.htm.

Deadlines

Application

All applications will be submitted electronically. The deadline for submitting applications in response to this solicitation is 11:59 p.m. E.T. on March 20, 2014. Applications submitted after 11:59 p.m. E.T. on March 20, 2014 may experience a delay in receiving funding. Applicants experiencing difficulties submitting an application should refer to the Experiencing Unforeseen Technical Issues section on page 17 of this solicitation.

Failure to begin the registration process in sufficient time may also result in a delay of receiving funding. It is important that applicants do not wait until the day of the application

deadline to begin the application submission process. To ensure a timely application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline.

Registration

Applicants must follow the registration process outlined below. There is no fee associated with the registration process. Additionally, the registration process cannot be expedited. OVW strongly discourages applicants from paying a third party to register on their behalf in an attempt to expedite the registration process. To ensure all applicants have ample time to complete the registration process, applicants must obtain a Data Universal Number System (DUNS) Number, register online with the System for Award Management (SAM) and with GMS immediately, but no later than February 27, 2014.

Applicants for federal grants and cooperative agreements are required to have a Data Universal Number System (DUNS) Number to submit an application. A DUNS Number is a unique nine-character identification number provided by the commercial company **Dun & Bradstreet (D&B)**. Once you have completed the D&B registration, your DUNS Number should be available the next business day.

In Fiscal Year (FY) 2012, the System for Award Management (SAM) replaced the Central Contractor Registration (CCR) as the government-wide registry for vendors doing business with the federal government. **All applicants must register with SAM and renew their registration annually.** The SAM centralizes information about grant recipients and also provides a central location for grant recipients to change organizational information.

Applicants must be **registered and current** with the SAM registration and with GMS prior to submitting an application. Applicants should begin the process immediately to meet the GMS registration deadline, especially if this is the first time using these systems. It is strongly recommended that applicants begin the registration process no later than **February 27, 2014** in order to ensure that the registration process is completed and any difficulties are resolved well in advance of the application deadline. For more information on the process of registering with SAM and GMS, please see the How To Apply section on page **16.**

Eligibility

It is very important that applicants review this information carefully. Applications that are submitted by ineligible entities will not be considered for funding.

Eligible Entities

Eligible entities for this program are:

- Any state of the United States;
- the District of Columbia; and,
- the Commonwealth of Puerto Rico, the United States Virgin Islands, American Samoa, Guam, and the Commonwealth of the Northern Mariana Islands.

Program Eligibility Requirements

In addition to meeting the eligible entity requirements outlined above, applications for the OVW STOP Formula Grant Program must also meet the requirement(s) below. All certification and other

eligibility related documents must be current and developed in accordance with the FY 2014 solicitation.

Certification of Eligibility

STOP Certification Requirements

To be eligible for funds, states and territories **must** certify that they are in compliance with the statutory eligibility requirements of the Program. The *Certification of Compliance with the Statutory Eligibility Requirements of the Violence Against Women Act (as Amended)* form must be signed and submitted annually by the Authorized Representative to demonstrate compliance with these requirements. (This form can be found in the Appendix.)

STOP Formula Grant Program applicants must also provide a brief description of the status of their compliance with the statutory requirements and submit copies of any legislative or administrative rule changes regarding these requirements that have occurred since submission of the state or territory's last application for STOP funds. This information may be included in the implementation plan, discussed on page **19.** Please note, some of the certifications have changed due to the Violence Against Women Reauthorization Act of 2013 (VAWA 2013). Changes are highlighted in bold.

1. With respect to the VAWA requirement concerning costs for criminal charges and protection orders, a state or territory must certify: that its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal, or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, stalking, or sexual assault, that the victim bear the costs associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, dismissal, withdrawal, or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the state, tribal, or local jurisdiction.

If a state or territory is not in compliance with the amendments to this certification, it will have until the end of the next session of the state or territorial legislature from October 1, 2013. In this case, the applicant should include information in the implementation plan on the specific areas of noncompliance and progress in addressing them.

- 2. With respect to the VAWA requirement concerning forensic medical examination payment for victims of sexual assault, a state or territory must certify that:
 - the state or territory or another governmental entity incurs the full out-of-pocket cost of forensic medical exams for victims of sexual assault;
 - the state or territory coordinates with health care providers in the region to notify victims of sexual assault of the availability of rape exams at no cost to victims; and,
 - it will not require a victim of sexual assault to participate in the criminal justice system or cooperate with law enforcement in order to be provided with a forensic medical exam, reimbursement for charges incurred on account of such an exam, or both.

Note: STOP funds may be used to pay for forensic medical exams performed by trained examiners for victims of sexual assault, except that such funds may not be used to pay for forensic medical exams if victims of sexual assault are required to seek reimbursement for such exams from their insurance carriers.

Note: Due to changes in VAWA 2013, states can no longer reimburse victims for the costs of the exams, but must make the exam available free of charge to the victim. This includes any deductibles or copayments for states that require victims to submit the charges to their insurance carriers.

States and territories have until March 7, 2016 to come into compliance with changes to this provision in VAWA 2013. If the state or territory is not compliant, it should include information in the implementation plan on the specific areas of noncompliance and progress in addressing them.

- 3. With respect to the VAWA requirement concerning judicial notification, a state or territory must certify:
 - that its judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of Title 18 of the United States Code, and any applicable related federal, state, or local laws.
- 4. With respect to the VAWA requirement prohibiting polygraph testing, a state or territory must certify that:
 - its laws, policies, or practices ensure that no law enforcement officer, prosecuting
 officer or other government official shall ask or require an adult, youth, or child
 victim of an alleged sex offense as defined under federal, tribal, state, territorial, or
 local law to submit to a polygraph examination or other truth telling device as a
 condition for proceeding with the investigation of such an offense, and
 - the refusal of a victim to submit to a polygraph examination or other truth telling device shall not prevent the investigation, charging, or prosecution of an alleged sex offense.

For more information on these statutory requirements, please contact your OVW Program Manager or consult OVW's Web site at http://www.ovw.usdoj.gov/docs/consolidated-stop-faqs-bla.pdf for Frequently Asked Questions on STOP Formula Grants.

Delivery of Legal Assistance

Any grantee or subgrantee providing legal assistance with funds awarded under this program shall certify in writing that:

- (1) any person providing legal assistance with funds through this program
 - (A) has demonstrated expertise in providing legal assistance to victims of domestic violence, dating violence, sexual assault, or stalking in the targeted population; or
 - (B) (i) is partnered with an entity or person that has demonstrated expertise described in subparagraph (A); and
 - (ii) has completed, or will complete, training in connection with domestic violence, dating violence, sexual assault or stalking and related legal issues, including training on evidence-based risk factors for domestic and dating violence homicide;

(2) any training program conducted in satisfaction of the requirement of paragraph (1) has been or will be developed with input from and in collaboration with a tribal, state, territorial, or local domestic violence, dating violence, sexual assault or stalking victim service provider or coalition, as well as appropriate tribal, state, territorial, and local law enforcement officials;

- (3) any person or organization providing legal assistance with funds through this program has informed and will continue to inform state, local, or tribal domestic violence, dating violence, sexual assault or stalking programs and coalitions, as well as appropriate state and local law enforcement officials of their work; and
- (4) the grantee's organizational policies do not require mediation or counseling involving offenders and victims physically together, in cases where sexual assault, domestic violence, dating violence, or child sexual abuse is an issue.

This certification shall take the form of a letter, on letterhead, signed and dated by the authorizing official. States will be responsible to ensure that subgrantees meet the requirement. States should not award funds for legal assistance to any subgrantee that has not submitted a sufficient letter.

For a sample letter, see http://www.ovw.usdoj.gov/docs/sample-cert-letter.pdf.

Award Information

Applicants that receive an award are bound by statute, federal regulations, the provisions of this solicitation, the OVW Financial Guide, any updates to the Financial Guide, and any conditions of the recipient's award.

Availability of Funds

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. There is no guarantee that funds will be available in the future. Therefore, OVW encourages applicants to develop a plan to sustain project activities if federal funding through the STOP Formula Grant Program is no longer available.

Award Period

The grant award period is 24 months.

Award Amounts

By statute, of the amount appropriated for the STOP Formula Grant Program OVW will award a base amount **\$600,000** to each state and territory. Funds remaining after the allocated base amount will be distributed among the states and territories according to population. The most accurate and complete data compiled by the United States Bureau of the Census are used to determine the populations.

Required Match

There is a 25% match requirement imposed on grant funds under this program. A grant made under this program may not cover more than 75 percent of the total costs of the project being funded. Subgrants to victim service providers for victim services can be excluded from the total costs. For a subgrant to qualify under this exclusion, the recipient must be an organization that is recognized by the IRS under section 501(c)(3) of title 26 (unless it is a tribal governmental organization or a governmental rape crisis center not in a territory). The applicant must identify the source of the 25 percent non-Federal portion of the budget and how match funds will be used. Applicants may satisfy the required match with either cash or in-kind services.

In addition, victim service providers and tribes can not be required to provide match. Such victim service providers must have IRS 501(c)(3) status (unless they are tribal governmental organizations or governmental rape crisis centers not in territories).

For more information, please contact your OVW Program Manager or consult OVW's web site at http://www.ovw.usdoj.gov/docs/consolidated-stop-faqs-bla.pdf for Frequently Asked Questions on STOP Formula Grants.

The following provisions apply to match requirements:

- The state may satisfy the match requirement with either cash (e.g., funds contributed from
 private sources or state and local governments) or in-kind services (e.g., services or goods
 donated by the applicant organization or other entities).
- Funds from other federal sources may not be used to meet the match requirement. A state may use its discretion to require some or all of its subgrantees (except victim service providers and Indian tribal governments) to meet the match requirement, in whole or in part. OVW encourages states to consider the ability of subgrantees to meet match requirements when deciding whether and how much of the match to pass on; however, the state remains responsible for satisfying the match requirement.
- Funds or in-kind resources used as match must be directly related to the project goals and objectives.
- Grantees or subgrantees must maintain records which clearly show the source, the amount, and the timing of all matching contributions.
- Sources of match are restricted to the same requirements as funds allocated under the STOP Formula Grant Program and must be documented in the same manner as STOP Formula Grant Program funds, including financial and programmatic reports.
- The state must provide match for its administrative expenses.

Grantees are advised that further guidance on the calculation, documentation and auditing of the match requirement can be found in the <u>OVW Grants Financial Management Guide</u> and on OVW's Web site. For more information and ideas regarding match, please see OVW's web site at http://www.ovw.usdoj.gov/docs/stop-match-requirement-for-formula-grants.pdf.

All awards are subject to the availability of appropriated funds and any modifications or additional requirements that may be imposed by law. Funding is not guaranteed.

Program Scope

Activities supported by the STOP Formula Grant Program are determined by statute, federal regulations, and OVW policies. If an applicant receives an award, the funded project is bound by the provisions of this solicitation, the OVW Financial Guide, any updates to the Financial Guide, and the conditions of the recipient's award.

Purpose Areas

STOP Formula Program funds are intended for use by states and territories; state, local, and tribal courts (including juvenile courts); Indian tribal governments; units of local government; and nonprofit, nongovernmental victim services programs, including community-based organizations. Grants and subgrants supported through this Program <u>must</u> meet one or more of the following statutory purpose areas.¹ Changes and new purposes areas from VAWA 2013 are highlighted in bold below.

In FY 2014, funds under the STOP Formula Grant Program may be used for the following purposes:

- 1. training law enforcement officers, judges, other court personnel, and prosecutors to more effectively identify and respond to violent crimes against women, including the crimes of sexual assault, domestic violence, stalking, and dating violence, including the use of nonimmigrant status under subparagraphs (U) and (T) of section 101(a)(15) of the Immigration and Nationality Act (8 U.S.C. 1101(a));
- 2. developing, training, or expanding units of law enforcement officers, judges, other court personnel, and prosecutors specifically targeting violent crimes against women, including the crimes of sexual assault, **dating violence**, **stalking**, and domestic violence;
- 3. developing and implementing more effective police, court, and prosecution policies, protocols, orders, and services specifically devoted to preventing, identifying, and responding to violent crimes against women, including the crimes of sexual assault, **dating violence**, **stalking**, and domestic violence, **as well as the appropriate treatment of victims**;
- 4. developing, installing, or expanding data collection and communication systems, including computerized systems, linking police, prosecutors, and courts or for the purpose of identifying, classifying, and tracking arrests, protection orders, violations of protection orders, prosecutions, and convictions for violent crimes against women, including the crimes of sexual assault, dating violence, stalking, and domestic violence;
- 5. developing, enlarging, or strengthening victim services **and legal assistance** programs, including sexual assault, domestic violence, **stalking**, and dating violence programs, developing or improving delivery of victim services to underserved populations, providing specialized domestic violence court advocates in courts where a significant number of protection orders are granted, and increasing reporting and reducing attrition rates for cases involving violent crimes against women, including crimes of sexual assault, **dating violence**, **stalking**, and domestic violence;
- 6. developing, enlarging, or strengthening programs addressing the needs and circumstances of Indian tribes in dealing with violent crimes against women, including the crimes of sexual assault, dating violence, stalking, and domestic violence;
- 7. supporting formal and informal statewide, multidisciplinary efforts, to the extent not supported by State funds, to coordinate the response of state law enforcement agencies, prosecutors, courts, victim services agencies, and other state agencies and departments, to violent crimes against women, including the crimes of sexual assault, domestic violence, **stalking**, and dating

¹ 42 U.S.C. § 3796gg(b).

violence;

8. training of sexual assault forensic medical personnel examiners in the collection and preservation of evidence, analysis, prevention, and providing expert testimony and treatment of trauma related to sexual assault;

- 9. developing, enlarging, or strengthening programs to assist law enforcement, prosecutors, courts, and others to address the needs and circumstances of older and disabled women who are victims of domestic violence, **dating violence**, **stalking**, or sexual assault, including recognizing, investigating, and prosecuting instances of such violence or assault and targeting outreach and support, counseling, and other victim services to such older and disabled individuals;
- 10. providing assistance to victims of domestic violence and sexual assault in immigration matters;
- 11. maintaining core victim services and criminal justice initiatives, while supporting complementary new initiatives and emergency services for victims and their families;
- 12. supporting the placement of special victim assistants (to be known as "Jessica Gonzales Victim Assistants") in local law enforcement agencies to serve as liaisons between victims of domestic violence, dating violence, sexual assault, and stalking and personnel in local law enforcement agencies in order to improve the enforcement of protection orders. Jessica Gonzales Victim Assistants shall have expertise in domestic violence, dating violence, sexual assault, or stalking and may undertake the following activities—
 - (A) developing, in collaboration with prosecutors, courts, and victim service providers, standardized response policies for local law enforcement agencies, including the use of evidence-based indicators to assess the risk of domestic and dating violence homicide and prioritize dangerous or potentially lethal cases;
 - (B) notifying persons seeking enforcement of protection orders as to what responses will be provided by the relevant law enforcement agency;
 - (C) referring persons seeking enforcement of protection orders to supplementary services (such as emergency shelter programs, hotlines, or legal assistance services); and
 - (D) taking other appropriate action to assist or secure the safety of the person seeking enforcement of a protection order.
- 13. providing funding to law enforcement agencies, victim services providers, and state, tribal, territorial, and local governments (which funding stream shall be known as the Crystal Judson Domestic Violence Protocol Program) to promote—
 - (A) the development and implementation of training for local victim domestic violence service providers, and to fund victim services personnel, to be known as "Crystal Judson Victim Advocates," to provide supportive services and advocacy for victims of domestic violence committed by law enforcement personnel;
 - (B) the implementation of protocols within law enforcement agencies to ensure consistent and effective responses to the commission of domestic violence by personnel within such agencies such as the model policy promulgated by the

International Association of Chiefs of Police ("Domestic Violence by Police Officers: A Policy of the IACP, Police Response to Violence Against Women Project" July 2003); and

(C) the development of such protocols in collaboration with state, tribal, territorial and local victim services providers and domestic violence coalitions.

Note: Any law enforcement, state, tribal, territorial, or local government agency receiving funding under the <u>Crystal Judson Domestic Violence Protocol Program</u> shall, on an annual basis, receive additional training on the topic of incidents of domestic violence committed by law enforcement personnel from domestic violence and sexual assault nonprofit organizations and, after a period of two years, provide a report of the adopted protocol to the Department, including a summary of progress in implementing such protocol. As such, states and territories are responsible for ensuring that each subgrantee receiving funds under this purpose area will receive the required annual training. states are also responsible for ensuring that subgrantees submit their two-year report to the Department. States and territories **must** notify and provide OVW with a list of subgrantee recipients awarded STOP funds under the Crystal Judson Domestic Violence Protocol Program.

- 14. Developing and promoting state, local, or tribal legislation and policies that enhance best practices for responding to domestic violence, dating violence, sexual assault, and stalking.
- 15. Developing, implementing, or enhancing Sexual Assault Response Teams, or other similar coordinated community responses to sexual assault.
- 16. Developing and strengthening policies, protocols, best practices, and training for law enforcement agencies and prosecutors relating to the investigation and prosecution of sexual assault cases and the appropriate treatment of victims.
- 17. Developing, enlarging or strengthening programs addressing sexual assault against men, women, and youth in correctional or detention settings.
- 18. Identifying and conducting inventories of backlogs of sexual assault evidence collection kits and developing protocols and policies for responding to and addressing such backlogs, including protocols and policies for notifying and involving victims.
- 19. Developing, enlarging, or strengthening programs and projects to provide services and responses to male and female victims of domestic violence, dating violence, sexual assault, or stalking, whose ability to access traditional services and responses is affected by their sexual orientation or gender identity, as defined in section 249(c) of title 18, United States Code.
- 20. Developing, enhancing, or strengthening prevention and educational programming to address domestic violence, dating violence, sexual assault, or stalking, with not more than 5 percent of the amount allocated to a state to be used for this purpose.

Prison Rape Elimination Act

Under the Prison Rape Elimination Act (PREA), states and territories will be required to submit, by May 15, 2014, either a certification that the state/territory is in compliance with the PREA Standards (http://www.prearesourcecenter.org/sites/default/files/library/2012-12427.pdf) or an

assurance that the state will use five percent of covered funds to come into compliance with the PREA Standards. For purposes of the STOP Formula Program, "covered funds" is 95 percent of the STOP award, because the court money is excluded. OVW will provide a form for you to document the use of the funds set aside under the assurance.

Mandatory Program Requirements

Implementation Plan

As a result of changes in VAWA 2013, implementation plans are now due with the application. An applicant that fails to include an implementation plan with its application will not have access to any funding, including administrative funds, until its implementation plan is submitted to and approved by OVW. If, at the time the award is made, the implementation plan does not meet the statutory requirements, OVW will issue a conditional award, with a special condition withholding all funds until a compliant implementation plan is submitted and approved. In this event, the grantee will have 60 days from the date of the award to submit a fully compliant implementation plan. If the state is not able to submit a compliant plan within this time, OVW will deobligate the funds. For more details on the requirements of the implementation plan, see **page 19**.

OVW Priority Areas

The emphasis of the STOP Formula Grant Program continues to be on the implementation of comprehensive strategies addressing violence against women that are sensitive to the immediate and long-term needs and safety of victims and hold offenders accountable for their crimes. States and territories should seek to carry out these strategies by forging lasting partnerships between the victim advocacy organizations and the criminal justice system, and by encouraging communities to look beyond traditional resources. States and territories should also look to new partners, including community-based organizations, to respond vigorously to sexual assault, domestic violence, dating violence, and stalking crimes.

In shaping their strategies for FY 2014, OVW encourages states and territories to develop and support projects that:

- Retain core services to victims;
- Increase support for sexual assault, including services, law enforcement response and prosecution:
- Increase support for underserved populations, particularly communities of color, in a culturally appropriate manner, with a special emphasis on African-American, tribal and LGBT communities;
- Increase the use of promising or evidence-building practices, where available;
- Support core services for victims of sexual and domestic violence, particularly support for rape crisis centers and domestic violence shelters;
- Provide culturally-specific services and training to underserved communities based on factors such as race, ethnicity, language, sexual orientation, or gender identity;
- Provide basic and advanced training to tribal law enforcement and tribal courts regarding services for victims in tribal communities;
- Provide comprehensive training to victim services, law enforcement, prosecution, and court personnel on sexual assault, to encourage increased reporting, arrest and successful prosecution of perpetrators;
- Support Full Faith and Credit training for tribes, states and territories; and Implement
 evidence-based risk/danger assessments to identify and prioritize victims who are
 considered to be in relationships with a high risk of lethality

Accommodations and Language Access

Applicants are encouraged to allocate grant funds to support activities that help to ensure individuals with disabilities and Deaf individuals and persons with limited English proficiency have meaningful and full access to their programs. For example, grant funds can be used to support American Sign Language (ASL) interpreter services, language interpretation and translation services, or the purchase of adaptive equipment. Applicants proposing to use grant funds to create websites, videos and other materials must ensure that they are accessible to persons with disabilities. Grant funds must be allocated for these purposes.

Activities that Compromise Victim Safety and Recovery

Because of the overall purpose of the program to enhance victim safety and offender accountability, grant funds may not be used to support activities that compromise victim safety and recovery. The following activities have been found to jeopardize victim safety, deter or prevent physical or emotional healing for victims, or allow offenders to escape responsibility for their actions:

- Procedures or policies that exclude victims from receiving safe shelter, advocacy services, counseling, and other assistance based on their actual or perceived age, immigration status, race, religion, sexual orientation, gender identity, mental health condition, physical health condition, criminal record, work in the sex industry, relationship to the perpetrator, or the age and/or gender of their children;²
- Procedures or policies that compromise the confidentiality of information and privacy of persons receiving OVW-funded services;
- Offering perpetrators the option of entering pre-trial diversion programs or placing batterers in anger management programs;
- Requiring mediation or counseling for couples as a systemic response to domestic violence or sexual assault, or in situations in which child sexual abuse is alleged;
- Requiring victims to report sexual assault, stalking, or domestic violence crimes to law enforcement or forcing victims to participate in criminal proceedings;
- Relying on court-mandated batterer intervention programs that do not use the coercive power of the criminal justice system to hold batterers accountable for their behavior; or
- Supporting policies or engaging in practices that impose restrictive conditions to be met by the victim in order to receive services (e.g., attending counseling, seeking an order of protection);

Out-of-Scope Activities

The following activities are out of program scope and will not be supported by STOP Formula Grant Program funding:

 Research projects (This does not include program assessments conducted only for internal improvement purposes. See section on Research and Protection of Human Subjects in the FY 2014 Solicitation Companion Guide at http://www.ovw.usdoj.gov/docs/companion-guide-10-16-12.pdf.)

Any out-of-scope activities proposed within an implementation plan will need to be removed prior to final approval by OVW.

² If an award is made, the recipient will also be subject to statutory prohibitions on discrimination. For further information on these civil rights requirements, see the section on "VAWA Non-Discrimination Provision" under "Post-Award Requirements" on page **21.**

Unallowable Activities

The following is a list of activities that are unallowable and cannot be supported by OVW STOP Formula Grant Program funding:

- Lobbying (except with explicit statutory authorization)
- Fundraising
- Purchase of real property
- Construction
- Physical modifications to buildings, including minor renovations (such as painting or carpeting)

How to Apply

This section describes how an application should be submitted. Applicants should anticipate that failure to meet all registration and submission deadlines may result in a delay in receiving funds. It is the responsibility of the applicant to ensure that the application is submitted in a timely manner.

Applicants must follow the registration process outlined below. There is no fee associated with the registration process. Additionally, the registration process cannot be expedited. OVW strongly discourages applicants from paying a third party to register on their behalf, or as an attempt to expedite the registration process. To ensure all applicants have ample time to complete the registration process, applicants must obtain a Data Universal Number System (DUNS) Number, register online with the System for Award Management (SAM) and with GMS immediately, but no later than February 27, 2014.

Applicants must be registered and current with the SAM registration and with GMS prior to submitting an application. It is strongly recommended that applicants begin the registration process immediately, but no later than **February 27**, **2014** in order to ensure that the registration process is completed and any difficulties are resolved well in advance of the application deadline. It is important that applicants do not wait until the day of the application deadline to begin the application submission process. To ensure a successful application submission, OVW strongly encourages applicants to submit their applications at least 48, but no less than 24, hours before the deadline.

Application Registration Requirements

It is very important that all applicants read this section carefully. Applicants are responsible for ensuring their applications are complete at the time of submission.

Each applicant must obtain a Data Universal Numbering System (DUNS) number, be registered in the System for Award Management (SAM) and submit their application online via the Grants Management System (GMS) according to the instructions. Applicants are encouraged to submit their applications 48, but no less than 24, hours before the deadline to allow sufficient time to address technical problems. Applicants should ensure that the DUNS number for the application is for an organization that is eligible to apply for the grant program. Also, only the organization that is registered in SAM may use that DUNS number to submit an application.

System for Award Management (SAM)

Applicants for all federal grants are required to register with the System for Award Management (SAM). If the applying organization already has an Employer Identification Number (EIN), the SAM

registration will take **up to two weeks to process**. If the applying organization does not have an EIN, then **the applicant should allow two to five weeks for obtaining the information from IRS when requesting the EIN via phone, fax, mail or Internet**. Follow the steps listed below to register in the SAM:

Step 1: Obtain a DUNS number at the following website http://www.dnb.com/us/ or call (866) 705-5711.

Step 2: Access the SAM online registration through the SAM homepage at https://www.sam.gov/ and follow the online instructions for new SAM users.

Step 3: Complete and submit the online registration. If the applying organization already has the necessary information on hand, the online registration takes approximately 30 minutes to complete, depending upon the size and complexity of the business or organization. Please note that organizations must update or renew their SAM registration at least once a year to maintain an active status. (Applicants formerly used the Central Contractor Registration (CCR) database).

Grants Management System

Applicants are required to submit applications through the Office of Justice Programs' Grants Management System (GMS) instead of Grants.gov. In order to apply for a grant through GMS, go to https://grants.ojp.usdoj.gov/ and either sign in using the applicant's current GMS ID and password or register as a new user. Once the applicant has logged into GMS, they should select the program that they intend to apply for and follow the instructions. Training materials are available on the main GMS homepage.

OVW Policy on Duplicate Applications

If an applicant submits multiple versions of an application, OVW will review the most recent version submitted.

Experiencing Unforeseen Technical Issues

As previously stated, applicants should begin the **registration process** immediately, but no later than **February 27, 2014** Furthermore, the applicant should begin the **application submission process** 48, but no later than 24, hours prior to the application deadline. This will allow for sufficient time for the applicant to contact the appropriate individuals and take corrective action, as outlined in this solicitation, should unforeseen technical issues arise. If technical difficulties are experienced at any point during the application process, the applicant must contact OVW GMS Support at 1-866-655-4482, Monday through Friday, 9:00 a.m. to 5:00 p.m. E.T.

If an applicant experiences unforeseen technical issues that prevent them from submitting an application by the deadline, they must take the following actions:

- contact Kevin Sweeney at **kevin.sweeney@usdoj.gov** prior to the application deadline stating that they are experiencing unforeseen technical issues; and
- contact the technical support number above prior to deadline.

Extraordinary Natural or Manmade Disasters

In cases of extraordinary natural or manmade disasters, such as extreme weather emergencies or terrorist acts, applicants may request to submit applications up to seven calendar days late by sending an e-mail to the contact listed in the solicitation. The message should specify the nature of the disaster and how it affected the applicant's ability to submit an application on time.

Application Contents

This section describes what is included in a complete application package. Applicants should anticipate that failure to submit an application that contains all of the specified elements may result in the delay of the processing of the award. Furthermore, it may also result in the inclusion of special conditions that preclude access to or use of award funds pending satisfaction of the conditions. It is the responsibility of the applicant to ensure that a complete application is submitted in a timely manner.

Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

Formatting and Technical Requirements

Applications must follow the requirements below:

- Double spaced (Summary Data Sheet and charts may be single space)
- 8½ x 11 inch paper
- One-inch margins
- Type no smaller than 12 point, Times New Roman font
- Page numbers
- Word processing documents must be in the following formats: Microsoft Word (.doc), PDF files (.pdf), or Text Documents (.txt).
- Headings and sub-headings that correspond to the sections identified in the Application Contents section of this solicitation.

Application Requirements

Applications must include the following required documents and demonstrate that the program eligibility requirements have been met. Applications that do not address all of the following components will be considered substantially incomplete and may experience a delay in receiving funds:

- 1. Summary Data Sheet
- 2. Implementation Plan
- 3. Certification of Compliance with the Statutory Eligibility Requirements of the Violence Against Women Act as Amended, STOP Formula Grant Program
- 4. Legal Assistance for Victims Certification Letter

Summary Data Sheet

The Summary Data Sheet should be one to four pages in length and may be single or double spaced. Please provide the following information:

- Name, title, address, phone number, and e-mail address for the Authorized Organization Representative (AOR) of the applicant agency (see page 20 for the definition of the AOR).
- Name, title, address, phone number, and e-mail address for the grant point-of-contact, who must be an employee of the applicant agency.
- Statement as to whether the agency applying has expended \$500,000 in federal funds in the organization's past fiscal year. Please specify the end date of the applicant's fiscal year.

 A list of other federal grant programs from which the applicant organization currently receives funding or for which it has applied for funding in FY 2014 to do similar work.

Implementation Plan

Pursuant to VAWA 2013, the implementation plan must include the following required elements:

- The date on which the plan was approved by the state
- The time period covered by the plan
- A brief description of the planning process
- Concise description of current goals and objectives
- Narrative about the priorities or goals the state has set regarding how STOP funds will be used
 - (a) If the state plans to address the "Crystal Judson" purpose area, include narrative on providing the required training
- General description of the types of programs and projects that will be supported with STOP dollars
- A description of how the funds will be distributed across the law enforcement, prosecution, courts, victim services, and discretionary allocation categories
- A timeline for the STOP grant cycle
- Whether STOP subgrant projects will be funded on a multiple or single-year basis
- A description of methods to be used for solicitation/review of proposals and selection of subgrant projects and for which sectors these methods apply
- Information on the state's progress toward meeting the sexual assault set-aside (in future years, how the state will meet the set- aside, including how they will ensure the funds allocated for programs or projects in 2 or more allocations (law enforcement, prosecution, victim services, and courts))
- Include documentation from each member of the planning committee as to their participation in the planning process. The committee must include at a minimum:
 - The state sexual assault and domestic violence coalitions
 - Law enforcement entities within the state
 - Prosecution offices
 - State and local courts
 - Tribal governments in those states with state or federally recognized Indian tribes
 - Representatives from underserved populations, including culturally specific populations
 - Victim service providers
 - Population specific organizations
- Include documentation(this can be in the form of a letter) from the prosecution, law enforcement, court, and victim services programs to be assisted, describing
 - The need for grant funds
 - The intended use of the grant funds
 - The expected result of the grant funds
 - The demographic characteristic of the population to be served, including age, disability, race, ethnicity, and language background
- Include a description of how the state will ensure that subgrantees will consult with victim services providers during the course of developing their grant applications in order to ensure that the proposed activities are designed to promote the safety, confidentiality, and economic independence of victims
- Provide data and a brief description of the state's population demographics and geographical information

- Provide demographic data on the distribution of underserved populations within the state and a description of how the state will meet the needs of underserved populations as defined by the Reauthorization of VAWA 2013
- Specifics on how the state plans to meet the set aside for culturally specific community based organizations
- Specific information as to which subgrantees meet the required 10% set aside for culturally specific organizations within the victim services allocation (if known).
- Provide a description of how the state will:
 - Give priority to areas of varying geographic size with the greatest showing of need based on the availability of existing domestic violence, dating violence, sexual assault, and stalking programs in the population and geographic area to be served in relation to the availability of such programs in other such population and geographic areas
 - Determine the amount of subgrants based on the population and geographic area to be served
 - Equitably distribute monies on a geographic basis including nonurban and rural areas of various geographic sizes
 - Recognize and meaningfully respond to the needs of underserved populations and ensure that monies set aside to fund culturally specific services and activities for underserved populations are distributed equitably among those populations
- Provide goals and objectives for reducing domestic violence-related homicides within the state
- A description of how the state coordinated this plan with the state plan for the Family Violence Prevention and Services Act and the programs under the Victims of Crime Act and section 393A of the Public Health Service Act (Rape Prevention Education), including how this implementation plan changed as a result of such coordination.

States and territories should submit a three year plan, covering the federal fiscal years of 2014, 2015, and 2016. A new plan is required, with the above elements, even if the state or territory submitted a new plan in FY2013. In the subsequent years (FY 2015 and 2016), states and territories will submit updates to the plan, such as new demographic data, new letters and documentation of collaboration, and any changes in goals or plans for how to use the funds. Please see http://www.ovw.usdoj.gov/docs/implementation-plan-checklist.pdf for a checklist of the statutorily required elements of the implementation plan.

Certification of Compliance with the Statutory Eligibility Requirements of the Violence Against Women Act as Amended, STOP Formula Grant Program
See Appendix A for the complete certification form.

Legal Assistance for Victims Certification Letter

See page 8 and sample letter at http://www.ovw.usdoj.gov/docs/sample-cert-letter.pdf.

Additional Required Information

The following documents should be included with your submission. Failure to include any of the information may result in the inability to access funds.

Confidentiality Notice Form

All applicants are required to acknowledge that they have received notice that grantees and subgrantees must comply with the confidentiality and privacy requirements of the Violence Against

Women Act, as amended. The acknowledgement form is available on the OVW website at http://www.ovw.usdoj.gov/docs/conf-acknowledgement.pdf and must be signed by the authorized representative and uploaded to the application on GMS.

Application for Federal Assistance (SF-424)

Applicants must complete the SF-424 online. In block 7 (Type of Applicant), please do not select "other." Please pay careful attention to the amount of federal funding requested in box 15 of this form. This amount must match the amount of federal funding requested in the budget section of the application package. Only include values in box 16 ("Recipient") if the program solicitation requires a match. The individual who is listed in box 18 must be the AOR for the applicant agency. The AOR is an individual who has the authority to apply for and accept grant awards on behalf of the organization or jurisdiction.

Standard Assurances and Certifications Regarding Lobbying; Debarment, Suspension, and Other Responsibility Matters; and Drug-Free Workplace Requirements (Form 4061/6)
Please carefully review the assurances and certification forms online. These forms will be completed online at a later time during the application process. All applicants must complete the *Disclosure of Lobbying Activities* (SF-LLL) form. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form. Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.

Letter of Nonsupplanting

Applicants must submit a letter to OVW's Director, signed by the AOR, certifying that federal funds will not be used to supplant state or local funds should a grant award be made. Please refer to http://www.ovw.usdoj.gov/docs/nonsup_letter.pdf for a sample letter. This should be a separate attachment to the application in GMS.

Single Point of Contact Review

Executive Order 12372 requires applicants from state and local units of government or other organizations providing services within a state to submit a copy of the application to the <u>state Single Point of Contact (SPOC)</u> if one exists and if the state has selected this program for review. Applicants must contact their state SPOCs to determine whether their programs have been selected for state review. The applicant should enter the date that the application was sent to the SPOC or the reason such submission is not required in Block 3 of the Overview section of the GMS application.

Post-Award Requirements

Violence Against Women Act Non-Discrimination Provision

The Violence Against Women Reauthorization Act of 2013 added a new civil rights provision that applies to all FY 2014 OVW grants. This provision prohibits OVW grantees and subgrantees from excluding, denying benefits to, or discriminating against any person on the basis of actual or perceived race, color, religion, national origin, sex, gender identity, sexual orientation, or disability in any program or activity funded in whole or in part by OVW. More guidance on this provision will be forth coming and made available on the OVW website. Additional information the civil rights obligations of OVW funding recipients can be found in the FY 2014 Solicitation Companion Guide Under "Civil Rights Compliance."

Nonprofit Organization Requirement

Any entity that is eligible for a STOP Formula subgrant based on its status as a nonprofit organization must be an organization that is described in section 501(c)(3) of the Internal Revenue Code of 1986 and is exempt from taxation under section 501(a) of that Code. See 42 U.S.C. § 13925(b)(16)(B). State and territorial agencies administering STOP Formula funds are responsible for ensuring that STOP subgrantees that are eligible as nonprofit organizations meet this requirement. In the STOP Formula program, this applies to grantees under the set aside to culturally specific community-based organizations, except for grantees that are tribal governmental organizations. In addition, victim service providers may need 501(c)(3) status, as described under "match" above, in order to qualify for match exemptions.

Compliance with OVW Financial Requirements

Each OVW grantee agrees to follow the financial and administrative requirements in the OVW Financial Grants Management Guide at http://www.ovw.usdoj.gov/docs/ovw-fgmg.pdf as a condition of receiving grant funding. If OVW determines that a current grantee has violated any of the requirements of the Guide, the grantee may be denied access to funding.

Conference Planning and Expenditure Limitations

Applicants should be aware of all applicable laws, regulations, policies and guidance (including specific cost limits, prior approval and reporting requirements, where applicable) governing the use of federal funds for expenses related to conferences (which is defined to include meetings, retreats, seminars, symposiums, training and other similar events), including the provision of food and/or beverages at such events, and costs of attendance at such events. Information on pertinent laws, regulations, policies and guidance is available at http://www.ovw.usdoj.gov/grantees.html. Applicants should also be aware of the following specific restrictions on conference planning and expenditure limitations:

- Cost of Logistical Conference Planning
- Cost of Programmatic Conference Planning
- Conference Space and Audio-Visual Equipment and Services
- Prohibition on Trinkets at Conferences
- Entertainment at Conferences
- Food and Beverages at Conferences

Updated Department of Justice and OVW guidance on conference planning, minimization of costs, and conference cost reporting is accessible on the OVW website

http://www.ovw.usdoj.gov/grantees.html. For additional information regarding food and beverage regulations, please refer to the OVW Financial Grants Management Guide at http://www.ovw.usdoj.gov/docs/ovw-fgmg.pdf.

Federal Financial Guidelines

Federal grants are governed by the provisions of the OMB circulars applicable to financial assistance and the OVW Financial Grants Management Guide, which can be found at http://www.ovw.usdoj.gov/docs/ovw-fgmg.pdf. The Financial Grants Management Guide includes information on allowable costs, methods of payment, audit requirements, accounting systems, and financial records. This document outlines the successful administration of grant funds.

Any recipient of an award will be responsible for monitoring subgrants/contracts, including MOU partner activities, under the grant in accordance with all applicable statutes, regulations, OMB circulars and guidelines, and the OVW Financial Grants Management Guide. Primary recipients will be responsible for oversight of subgrantee/partner spending and monitoring specific performance measures and outcomes attributable to the use of OVW funds.

Food and Beverage/Costs for Refreshments and Meals

Generally food and beverage costs are **not** allowable, and under no circumstances may OVW funding be used to supply food and/or beverages during refreshment breaks. OVW may approve the use of OVW funds to provide food and/or beverages for a meal at a meeting, conference, training, or other event, if one of the following applies:

- The location of the event is not in close proximity to food establishments. It should be a
 priority to try to secure a location near reasonably priced and accessible commercial food
 establishments.
- Not serving food will significantly lengthen the day or necessitate extending the meeting to achieve meeting outcomes.
- A special presentation at a conference requires a plenary address where there is no other time for food to be obtained.
- Other extenuating circumstances necessitate the provision of food.

High Risk Grantees

Based on DOJ's assessment of each grantee with regard to current or previous funding, unresolved audit issues, delinquent programmatic and fiscal reporting, and prior performance, a grantee may be designated "high risk." Awards to high-risk grantees may carry special conditions such as increased monitoring and/or prohibitions on drawing funds until certain requirements are met.

Program Assessments

Applicants may not use any OVW funds to conduct research. However, up to three percent of the budget may be allocated for the purpose of assessing the effectiveness of funded activities. For example, funds may be used to conduct pre- and post-testing of training recipients or for victim satisfaction surveys. In conducting such testing or surveys, grantees may not collect, analyze or disseminate any information that would disclose the identity of an individual.

Reporting Requirements

All OVW grantees receiving awards are required to submit an annual progress report and quarterly federal financial reports. Appropriate forms will be provided to all applicants selected for an award.

Information for All Federal Award Grantees

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OVW strongly encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found in the FY 2014 Solicitation Companion Guide at http://www.ovw.usdoj.gov/docs/companion-guide-fy2014.pdf

- Civil Rights Compliance
- Funding to Faith-Based Organizations
- Confidentiality and Privacy Protections
- Research and the Protection of Human Subjects (if applicable)
- Anti-Lobbying Act

- Reporting Requirements
- National Environmental Policy Act (NEPA) (if applicable)
- DOJ Information Technology Standards (if applicable)
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Reporting Fraud, Waste, Error, and Abuse
- Suspension or Termination of Funding
- Nonprofit Organizations
- Government Performance and Results Act (GPRA)
- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act (FFATA) of 2006
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Active SAM Registration

Public Reporting Burden

Paperwork Reduction Act Notice

Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. We try to create forms and instructions that are accurate, can be easily understood, and which impose the least possible burden on you to provide us with information. The estimated average time to complete and file this form is 30 hours. If you have comments regarding the accuracy of this estimate, or suggestions for making this form simpler, you can write to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, Washington, DC 20530.

Application Checklist

Applicants must submit a fully executed application to OVW, including all required supporting documentation. If an applicant plans to submit an application under any other OVW grant program this fiscal year, please ensure that only documents pertinent to this solicitation are included with this application. OVW will not redirect documents that are inadvertently submitted with the wrong application (e.g. a Legal Assistance for Victims Certification Letter submitted with a Transitional Housing Program Application).

Application Document	Date Completed
1. Summary Data Sheet	
2. Implementation Plan	
3. Confidentiality Notice Form	
4. Application for Federal Assistance: SF 424	
5. Standard Assurances and Certifications	
6. Letter of Nonsupplanting	
7. Certification of Compliance with the Statutory Eligibility	
Requirements of the Violence Against Women Act as	
Amended, STOP Formula Grant Program	
8. Legal Assistance for Victims Certification Letter	

Do not submit documents in addition to those specified in this solicitation. Please note that any materials submitted as part of an application may be released pursuant to a request under the Freedom of Information Act.

APPENDIX

Certification of Compliance with the Statutory Eligibility Requirements of the Violence Against Women Act as Amended, STOP Formula Grant Program



U.S. Department of JusticeOffice on Violence Against Women

Certification of Compliance with the Statutory Eligibility

Requirements of the Violence Against Women Act as Amended, STOP Formula Grant Program

Applicants should refer to the laws cited below for further information regarding the certifications to which they are required to attest. Signature on this form certifies that the state is qualified to receive the funds and provides for compliance with relevant requirements under 28 CFR Part 90 and 42 U.S.C 3796gg through 3796gg-5 and 3796gg-8. The certifications shall be treated as a material representation of fact upon which the Department of Justice will rely if it determines to award the covered transaction, grant, or cooperative agreement.

Upon complying with the application requirements set forth in this Application Guide, any state shall be qualified for funds provided under the Violence Against Women Act upon certification that:

- (1) the funds will be used only for the statutory purposes described in 42 U.S.C. § 3796gg (a) and (b);
- (2) grantees and subgrantees will develop plans for implementation and will consult and coordinate with:
 - (A) the State sexual assault coalition:
 - (B) the State domestic violence coalition:
 - (C) the law enforcement entities within the State:
 - (D) prosecution offices:
 - (E) State and local courts;
 - (F) Tribal governments in those States with State or federally recognized Indian tribes;
 - (G) representatives from underserved populations, including culturally specific populations;
 - (H) victim service providers;
 - (I) population specific organizations; and
 - (J) other entities that the State or the Attorney General identifies as needed for the planning process;
- (3) grantees will coordinate the State implementation plan with the State plans described in section 307 of the Family Violence Prevention and Services Act (42 U.S.C. 10407) and the programs described in section 1404 of the Victims of Crime Act of 1984 (42 U.S.C. 10603) and section 393A of the Public Health Service Act (42 U.S.C. 280b-1b).
- (4) the amount granted will be allocated, without duplication, as follows: not less than 25 percent for law enforcement, not less than 25 percent for prosecutors, not less than 30 percent for victim services (of which at least 10 percent will be distributed to culturally specific community-based organizations), and not less than 5 percent to state and local courts;

(5) not later than 2 years after March 7, 2013, and every year thereafter, not less than 20 percent of the total amount granted to a State under this subchapter shall be allocated for programs or projects in 2 or more allocations listed in paragraph (4) that meaningfully address sexual assault, including stranger rape, acquaintance rape, alcohol or drug-facilitated rape, and rape within the context of an intimate partner relationship; and

(6) any federal funds received under this subchapter will be used to supplement, not supplant, nonfederal funds that would otherwise be available for activities funded under this chapter.

In addition, as required by 42 U.S.C. 3796gg-4, 3796gg-5, and 3796gg-8 and implemented at 28 CFR Part 90 states certify that they are in compliance with the following:

(1) Forensic Medical Examination Payment Requirement for Victims of Sexual Assault

- (a) A State, Indian tribal government, or unit of local government shall not be entitled to funds under this subchapter unless the State, Indian tribal government, unit of local government, or another governmental entity—
- (1) incurs the full out-of-pocket cost of forensic medical exams for victims of sexual assault; and
- (2) coordinates with health care providers in the region to notify victims of sexual assault of the availability of rape exams at no cost to the victims.
- (b) A state, Indian tribal government, or unit of local government shall be deemed to incur the full out-of-pocket cost of forensic medical exams for victims of sexual assault if any government entity:
- (1) provides such exams to victims free of charge to the victim; or
- (2) arranges for victims to obtain such exams free of charge to the victims.
- (c) A State or Indian tribal government may use STOP grant funds to pay for forensic medical exams performed by trained examiners for victims of sexual assault, except that such funds may not be used to pay for forensic medical exams by any State, Indian tribal government, or territorial government that requires victims of sexual assault to seek reimbursement for such exams from their insurance carriers.
- (d) (1) To be in compliance with this section, a State, Indian tribal government, or unit of local government shall comply with this provision without regard to whether the victim participates in the criminal justice system or cooperates with law enforcement.
- (2) States, territories, and Indian tribal governments shall have 3 years from March 7, 2013 to come into compliance with this section.

(2) Filing Costs For Criminal Charges and Protection Orders

A state, Indian tribal government, or unit of local government will not be entitled to funds unless it certifies that its laws, policies, and practices do not require, in connection with the prosecution of any misdemeanor or felony domestic violence, dating violence, sexual assault, or stalking offense, or in connection with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal or service of a protection order, or a petition for a protection order, to protect a victim of domestic violence, dating violence, sexual assault, or stalking, that the victim bear the costs

associated with the filing of criminal charges against the offender, or the costs associated with the filing, issuance, registration, modification, enforcement, dismissal, withdrawal or service of a warrant, protection order, petition for a protection order, or witness subpoena, whether issued inside or outside the State, tribal, or local jurisdiction.

(3) Judicial Notification

A State or unit of local government shall not be entitled to funds under this part unless the State or unit of local government--

- (a) certifies that its judicial administrative policies and practices include notification to domestic violence offenders of the requirements delineated in section 922(g)(8) and (g)(9) of title 18, United States Code, and any applicable related federal, state, or local laws; or
- (b) gives the Attorney General assurances that its judicial administrative policies and practices will be in compliance with the requirements of subparagraph (A) within the later of—
- (1) the period ending on the date on which the next session of the State legislature ends; or
- (2) January 5, 2008.

(4) Polygraph Testing Prohibition

- (a) In order to be eligible for grants under this part, a state, Indian tribal government, territorial government, or unit of local government shall certify that, not later than January 5, 2009, their laws, policies, or practices will ensure that no law enforcement officer, prosecuting officer or other government official shall ask or require an adult, youth, or child victim of an alleged sex offense as defined under federal, tribal, state, territorial, or local law to submit to a polygraph examination or other truth telling device as a condition for proceeding with the investigation of such an offense.
- (b) Under 42 U.S.C. 3796gg-8(b), the refusal of a victim to submit to a polygraph or other truth telling examination shall not prevent the investigation, charging, or prosecution of an alleged sex offense by a state, Indian tribal government, territorial government, or unit of local government.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with above certifications.						
Typed Name of Authorized Representative	Title	Telephone Number				
Signature of Authorized Representative		Date Signed				
Agency Name						

Public Reporting Burden Paperwork Reduction Act Notice. Under the Paperwork Reduction Act, a person is not required to respond to a collection of information unless it displays a currently valid OMB control number. We try to create forms that are accurate, can be easily understood, and which impose the least possible burden on you to provide us with information. The estimated average time to complete and file this form is 60 minutes per form. If you have comments regarding the accuracy of this estimate, or suggestions for making this form simpler, you can write to the Office on Violence Against Women, U.S. Department of Justice, 145 N Street, NE, 10th Floor, Washington, DC 20530.